

U.S. Department of Justice (DOJ) Compliance Program Guidance

The DOJ released updated [Evaluation of Corporate Compliance Programs*](#) guidance on April 30, 2019. The table below outlines the specific areas of corporate compliance the guidance addresses, and their corresponding NAVEX Global product or service solution(s).

Evaluation of Corporate Compliance Programs: Document Sections	NAVEX Global Product / Service					
	PolicyTech	EPIM	NAVEX Engage	RiskRate	Code of Conduct	Awareness
Risk Assessment	■				■	
Policies and Procedures	■		■		■	
Training and Communications			■			■
Confidential Reporting Structure and Investigation Process	■	■			■	■
Third Party Management			■	■		
Mergers and Aquisitions	■			■		
Commitment by Senior and Middle Management					■	■
Incentives and Disciplinary Measures		■				
Investigation of Misconduct		■				
Analysis and Remediation of Any Underlying Misconduct				■		

* Source: US Dept. of Justice, 2019 (<https://www.justice.gov/criminal-fraud/page/file/937501/download>)